



Application No. 09/778,005

Docket No. 111325/05

#7 SP  
11/19/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: )  
Mark J. STEFIK et al. )  
Serial No. 09/778,005 )  
Filed: February 7, 2001 )  
For: A SYSTEM FOR CONTROLLING THE )  
DISTRIBUTION AND USE OF )  
RENDERED DIGITAL WORKS )  
THROUGH WATERMARKING )

Examiner: Not yet assigned  
Group Art Unit: 2132

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INFORMATION DISCLOSURE STATEMENT

Technology Center 2100

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The undersigned certifies that either (1) each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in connection with a counterpart foreign application not more than three (3) months prior to the filing of this statement, or (2) no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

Enclosed is a copy of the European Search Report dated August 6, 2003, together with the references cited therein.


Please note that cited reference U.S. Patent 5,444,779 was previously filed on August 30, 2002 and therefore the reference is not enclosed.

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380. (111325-45)

Respectfully submitted,

By: \_\_\_\_\_

  
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Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				Application Number	09/778,005
				Filing Date	February 7, 2001
				First Named Inventor	Mark J. STEFIK
				Art Unit	2132
				Examiner Name	Not yet assigned
Sheet	1	of	1	Attorney Docket Number	111325-45

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		EP 0 567 800 A1	11-03-1993	Hartrick et al.		Full
		EP 0 665 486 A2	08-02-1995	Choudhury et al.		Full
		EP 0 715 247 A1	06-05-1996	Stefik et al.		Full
		WO 96/36163	11-14-1996	Rhoads		Full

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		BRASSIL, JACK T., ET AL., "ELECTRONIC MARKING AND IDENTIFICATION TECHNIQUES TO DISCOURAGE DOCUMENT COPYING", IEEE JOURNAL ON SELECTED AREAS IN COMMUNICATIONS, IEEE INC. NEW YORK, US, VOL. 13, NO. 8, OCTOBER 1, 1995 PAGES 1495-1503	
		EUROPEAN SEARCH REPORT DATED AUGUST 6, 2003	

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Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.